

Substance Use Intervention Tool

SA Intervention 01 (65D-30.012(1)(a)) Does the provider maintain current documentation that describes the target population served, eligibility criteria for intervention services, expected clinical outcomes, and strategies to assist individuals in accessing services?

SA Intervention 02 (65D-30.012(1)) Does documentation demonstrate that intervention services include structured activities such as motivational discussion, supportive counseling, and behavioral change strategies designed to prevent or impede the progression of substance use?

SA Intervention 03 (65D-30.012(1)) Does documentation demonstrate that a validated screening or service determination tool is used to determine whether intervention services are appropriate for the individual?

SA Intervention 04 (65D-30.0042(1)(a)) Does the chart include a completed screening conducted prior to service delivery that evaluates service needs and eligibility for placement or other disposition, documents the rationale for action taken, and identifies the validated tool used for service determination?

SA Intervention 05 (65D-30.0042(1)) If the screening was not completed by a Qualified Professional, was it countersigned and dated by a Qualified Professional?

SA Intervention 06 (65D-30.0042(1)(d)) Does the chart include a signed consent for services completed prior to or upon initiation of services, except in cases of involuntary placement?

SA Intervention 07 (65D-30.004(18)) Do release of information forms contain all required consent elements, including the recipient, purpose, scope of disclosure, expiration date, revocation statement, and required signatures?

SA Intervention 08 (65D-30.0042(1)(b)) When drug screening is conducted, does the chart include documented informed consent completed prior to testing?

SA Intervention 09 (65D-30.0044(3)(b)) For individuals receiving ongoing intervention services, does the chart include a written intervention plan?

SA Intervention 10 (65D-30.0044(3)(b)) Is the intervention plan completed within 45 calendar days of initiation of intervention services?

SA Intervention 11 (65D-30.0044(3)(b)) Does the intervention plan include goals and objectives designed to reduce the severity and intensity of factors associated with the onset or progression of substance use?

SA Intervention 12 (65D-30.0044(3)(b)) Is the intervention plan signed and dated by the staff who developed the plan and by the individual?

SA Intervention 13 (65D-30.0044(3)(b)) Is the intervention plan reviewed and updated at least every 60 calendar days from the date of completion?

SA Intervention 14 (65D-30.012(1)(b)2) 65D-30.012(1)(b)2 When an intervention plan is not completed, does documentation demonstrate that all contacts with the individual are recorded in the clinical record?

Substance Use Intervention Tool

SA Intervention 15 (65D-30.012(1)(b)) Does documentation demonstrate that the number of supportive counseling sessions or contacts is determined through the intervention plan and, when an intervention plan is not completed, that all contacts are recorded in the clinical record?

SA Intervention 16 (65D-30.012(1)) For individuals receiving general intervention services, does documentation demonstrate that services focus on increasing awareness, motivation, and behavioral change related to substance use?

SA Intervention 17 (65D-30.0044(3)(c)) Does the chart include summary notes documenting services provided and progress or lack of progress toward intervention goals?

SA Intervention 18 (65D-30.0044(3)(c)) Are summary notes completed at least weekly during weeks in which services are scheduled?

SA Intervention 19 (65D-30.0044(3)(c)) Are summary notes signed and dated by the staff delivering the service?

SA Intervention 20 (65D-30.0044(3)) Does the client record demonstrate that intervention services provided align with intervention plan goals and objectives?

SA Intervention 21 (65D-30.012(1)(b)) Does documentation demonstrate that individuals identified as needing additional services are referred within 48 hours, or immediately in the case of an emergency?

SA Intervention 22 (65D-30.0044(2)) Does documentation demonstrate that referrals are documented, coordinated, and followed up, including whether linkage occurred or attempts were made?

SA Intervention 23 (65D-30.0044(2)) Does documentation demonstrate that ancillary services are provided directly or through referral based on individual need?

SA Intervention 24 (65D-30.0044(4)(a)) Does the chart include a discharge summary that includes a summary of the individual's involvement in services, the reasons for discharge, and referrals to needed aftercare and other services?

SA Intervention 25 (65D-30.0044(4)(a)) Is the discharge summary completed within 15 business days and signed and dated by a primary counselor?

SA Intervention 26 (65D-30.0044(4)(b)) For individuals who transfer from one component to another within the same provider, is a transfer summary completed immediately, documenting the circumstances of the transfer, and signed and dated by a primary counselor within 15 days?

SA Intervention 27 (65D-30.0044(4)(b)) For individuals who transfer from one provider to another, is a transfer summary completed within 5 calendar days, documenting the circumstances of the transfer, and signed and dated by a primary counselor within 15 days?